

Terms and Conditions for Referrer of the Sharekhan Referral Scheme

- a. I/We agree that I/we shall not undertake any form of distribution/advisory activities in secondary market w.r.t securities or Mutual Funds and will not manage the portfolio of any person who is being referred by me/us. I/We shall strictly limit my/our role to Referral only.
- b. I/We hereby declare that I/we have no financial transaction with any person who is being referred by me/us under any kind of arrangement.
- c. I/We understand that it shall be the sole discretion of Sharekhan Limited to open accounts of the person referred by me/us and the mere fact that I/we have referred any other person shall not make it obligatory on the part of Sharekhan Limited to mandatorily open such accounts.
- d. I/We hereby declare that we shall not act or project ourselves as or hold myself/ourselves out as a Sharekhan Limited employee/ Sharekhan Limited's Authorised Representative or franchisee.
- e. I/We hereby declare that we shall not print or use any letter heads / rubber stamps / visiting cards in the name of or containing the name of Sharekhan Limited or create any impression of any business association with Sharekhan Limited
- f. I/We understand that we shall under no circumstances, collect any cash or blank cheque or physical shares or DIS slip of Referred Persons on behalf of Sharekhan Limited or for submission to Sharekhan Limited. I/We fully understand that the dealings of the person referred by us shall be independent from our dealings.
- g. I/We agree that we shall not enter into any type of transactions which are in the nature of trade inducement with the person referred by us.
- h. I/We fully understand that any dispute arising w.r.t. referral program, the person referred by us and me/us will not be covered under Investor Protection or Grievance Redressal Measures of the Exchanges.
- i. Decisions taken by Sharekhan Limited / its management in this regard shall be final and binding upon all the parties.

I/We have read and understood the above terms and conditions and agree to them.