

Format for Investor Complaints Data to be displayed by Stockbrokers on their respective websites.

Data for every month ending - 31/10/2024

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| SN | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^(in days) |
|----|-----------------------------------|-------------------------------------------------|---------------------------------|------------------|-----------|-----------------------------------------|-----------------------------------|--------------------------------------------|
| | | | | | | Pending for less than 3 months | Pendingor more than 3months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 19 | 48 | 67 | 56 | 11 | 00 | 06 |
| 2 | SEBI (SCORES) | 13 | 16 | 29 | 27 | 02 | 00 | 10 |
| 3 | Stock Exchanges | 11 | 42 | 53 | 50 | 03 | 00 | 10 |
| 4 | Other Sources, ODR (if any) | 01 | 02 | 03 | 03 | 00 | 00 | 04 |
| 5 | Grand Total | 44 | 108 | 152 | 136 | 16 | 00 | 08 |

[^]Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

| Sr. | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|-----|-------------|-------------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | July, 2024 | 19 | 151 | 126 | 44 |
| 2 | Aug, 2024 | 44 | 115 | 134 | 25 |
| 3 | Sept, 2024 | 25 | 123 | 104 | 44 |
| 4 | Oct, 2024 | 44 | 108 | 136 | 16 |
| | Grand Total | 132 | 497 | 500 | 129 |

^{*}Should include complaints of previous months resolved in the current month, if any.

Trend of annual disposal of complaints

| SN | Year Carried forward | | Received during | Resolved | Pending at |
|----|----------------------|---------------|-----------------|-----------------|----------------|
| | | from previous | the year | during the year | the end of the |
| | | year | | | year |
| 1 | 2020-21 | 1 | 448 | 445 | 4 |
| 2 | 2021-22 | 4 | 4208 | 3850 | 362 |
| 3 | 2022-23 | 362 | 3302 | 3564 | 100 |
| 4 | 2023-24 | 100 | 1398 | 1473 | 25 |
| 5 | 2024-25 | 25 | 1313 | 1322 | - |
| | Grand Total | 492 | 10669 | 10654 | 507 |

^{**}Should include total complaints pending as on the last day of the month, if any.